# **Hierarchical Reinforcement Learning for Ad Hoc Teaming**

Extended Abstract

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# ABSTRACT

When performing collaborative tasks with new unknown teammates, humans are particularly adept at adapting to their collaborator and converging toward an aligned strategy. However, state of the art autonomous agents still do not have this capability. We propose that a critical reason for this disconnect is that there is an inherent hierarchical structure to human behavior that current agents lack. In this paper, we explore the use of hierarchical reinforcement learning to train an agent that can navigate the complexities of ad hoc teaming at the same level of abstraction as humans. Our results demonstrate that when paired with humans, our Hierarchical Ad Hoc Agent (HAHA) outperforms all baselines on both the team's objective performance and the human's perception of the agent.

# **KEYWORDS**

Human Agent Collaboration; Ad Hoc Teaming; Zero-Shot Coordination; Mutual Adaptation; Hierarchical Reinforcement Learning;

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## **1** INTRODUCTION

Increasingly, the AI community has recognized the importance of creating agents that can collaborate effectively with humans. Drastic variance in human knowledge, ability, and preference require adaptive agents that can quickly conform to new and unseen teammates—an ability frequently named ad hoc teaming [2] or zeroshot coordination [4]. Previous work has made valuable strides toward this goal by investing the teammate(s) that agents are trained with. [3] proposes behavioral cloning play (BCP), where an agent trains with a behavioral cloning teammate; [5, 10] propose training with a set of teammates that vary in skill level. However, this previous work has focused on agents that learn low-level policies (e.g. move *LEFT*, *RIGHT*, *UP*, *DOWN*, ..). Notably, there is an inherent hierarchical structure to human behavior [12] that these techniques fail to capture. Humans utilize task hierarchies to analyze and manage projects [1, 13] and synchronize with other humans [6, 8, 9]. Alessandro Roncone University of Colorado Boulder Boulder, CO, USA

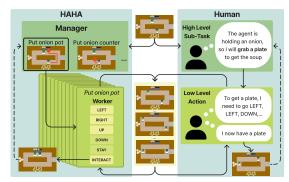


Figure 1: An overview of HAHA. Given the current state, the manager decides to place its onion into the pot and invokes the correct worker to carry out the low-level actions. Concurrently, the human notices that the agent is focusing on onions, so decides to grab a plate to serve the completed soup.

In this work, we hypothesize that providing an autonomous agent with a hierarchical structure will cognitively and behaviorally align the agent to humans, facilitating humanagent teams to understand each other and adapt to aligned strategies. To this end, we explore the use hierarchical reinforcement learning (HRL) to train an agent that navigates the complexities of ad hoc teaming at the same level of abstraction as humans.

To our knowledge, we are the first paper to propose, motivate, and demonstrate the benefit of using HRL for human agent interaction. We propose the following novel contributions: 1) we present our Hierarchical Ad Hoc Agent (HAHA) capable of zeroshot coordination with humans; 2) we demonstrate that HAHA outperforms all baselines when paired with unseen autonomous agents; 3) we demonstrate that HAHA outperforms and is preferred over baselines when paired with humans.

### 2 HAHA: HIERARCHICAL AD HOC AGENT

Following prior work in ad hoc teaming [3, 10], we study the use of our hierarchical approach using a simplified Overcooked environment, as proposed in [3]. In this work, we demonstrate significant improvement using only three of the five original layouts (cf. Fig. 2).

We start with the motivation of aligning agents and humans at a cognitive and behavioral level by using a hierarchical structure with high level sub-tasks (e.g. put an onion in a pot, or serving a soup, ...) that organize low-level actions (e.g. *UP*, *DOWN*, *LEFT*, *RIGHT*, *INTERACT*). To achieve this, we leverage FuNs [11] to develop HAHA: Hierarchical Ad Hoc Agents.

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Layout	SP	BCP	FCP	HAHA
AA	193.7 (83.1)	194.0 (83.3)	233.0 (100)	215.3 (92.4)
CC	45.0 (62.8)	6.0 (8.4)	51.0 (71.1)	71.7 (100)
FC	52.3 (84.4)	62.0 (100)	31.7 (51.1)	60.3 (97.3)
Avg.	97.0 (76.7)	87.3 (63.9)	105.2 (74.1)	115.8 (96.5)

Table 1: Average performance across ten trials of HAHA and the FCP, BCP, and SP baselines when paired with unseen agents. Normalized scores are in parentheses. Each trial is run for 80 seconds (T=400 steps).

The environment's *INTERACT* action is used to pick up and put down objects, which we are able to use to delineate a set of twelve high-level sub-tasks that covers all movement patterns. Twelve worker agents are then trained using PPO [7] to complete each of the sub-tasks. The worker's action space is the environment's low-level actions, and they receive a positive of negative reward depending on whether they successfully complete the sub-task or not. Lastly, a manager is trained using PPO to identify which subtask should be performed next. Specifically, a manager's action space is picking which worker will control the agent until the next *INTERACT* action is performed, and receives the environment's normal rewards. Fig. 1 shows an overview of our method. Code is available at: https://github.com/HIRO-group/HAHA.

# 3 RESULTS

Ad hoc teaming requires mutual adaptation: being able to adapt oneself and making it easy for a teammate to adapt to you. While playing with unseen agents tests the former, only experiments with real humans capture the full challenge of ad hoc teaming. To this end, we evaluate HAHA against three baselines—self-play (SP), behavioral cloning play (BCP) [3], and Fictitious Co-Play (FCP) [10]—when paired both with previously unseen agents and with humans through an online user study (n = 55).

## 3.1 Ad Hoc Teaming with Unseen Agents

We first have the agents play with unseen agents: they play with each other, with themselves, with a human proxy, and with a random agent. The results are shown in Table 1. In this set up, the only agent who has trained with any of the teammates before is the SP agent, who was trained with itself. The results show that HAHA performs 20.00% better than the average of the baselines, and 10.07% than the second best agent.

#### 3.2 Ad Hoc Teaming with Humans

Result in Fig. 2 show that HAHA significantly outperforms all baselines when paired with humans. It outperforms the average of the baselines by 31.89% and is 27.79% better than the second best agent. This further improvement over the baselines when paired with humans strongly supports our motivation that humanagent collaboration benefits from aligning humans and agents at a cognitive and behavioral level. We hypothesize that part of this improvement is that HAHA is always task oriented, making it easier for the human teammates to understand what HAHA is trying to accomplish. The results of our likert scale questions, shown in Fig. 3, supports this claim, with humans finding HAHA significantly more

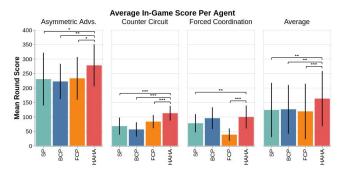


Figure 2: Average reward of HAHA and the SP, BCP, and FCP when paired with humans on each layout. Asterisks indicate significance: \*=(p<0.05), \*\*=(p<0.005), \*\*\*=(p<0.0005).

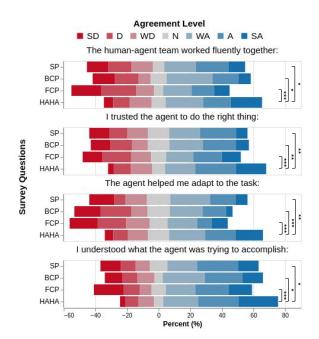


Figure 3: Results from the likert questions. Bluer/Redder bars indicate that higher agreement/disagreement. Asterisks indicate significance: \*=(p<0.05), \*\*=(p<0.005), \*\*\*=(p<0.0005). SD=Strongly Disagree, D=Disagree, WD=Weakly Disagree, N=Neutral, WA=Weakly Agree, A=Agree, SA=Strongly Agree understandable than the baselines. Humans also found HAHA to be significantly more fluent, trustworthy, and helped them better adapt to the task.

## 4 CONCLUSION

In this paper, we have investigated the use of HRL for effective human-agent collaboration. The experiments we conducted demonstrates that when paired with either other unseen agents, or with real humans, our Hierarchical Ad Hoc Agent significantly and substantially outperforms all baselines.

Lastly we note that because the proposed architecture is independent to the training teammates, the work presented is orthogonal and complementary to all previous work and future work in the Overcooked environment that investigates training teammates.

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